No.



8200161

## THIE DUTHED SHAVES OF AMIERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME;

# Ring Around Products, Inc.

Collegeas, there has been presented to the

#### Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED NOVEL VARIETY OF SEXUALLY REPRODUCED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF eighteen YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, IMPORTING IT, OR EXPORTING IT, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT TY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT

SOYBEAN

'RA 606'

In Eastimony University, I have hereunto set my hand and caused the seal of the Plant Unriety Protection Office to be affixed at the City of Washington

this 27th day of October in the year of our Lord one thousand nine hundred and eighty-three.

Commissioner
Plant Variety Protection Offico
Grain Division

Agricultural Marketing Service

John R Blod Secretary of Agriculture

U.S. DEPARTMENT AGRICULTURAL M LIVESTOCK, MEAT, G	FORM APPROVED: OMB NO.0581-0055					
APPLICATION FOR PLANT VARI			No certificate for plant variety protecti may be issued unless a completed ap- cation form has been received (5 U.S 553).			
1. NAME OF APPLICANT(S) <del>ZOOCON COPPORATION</del> ROHM AND HARS SEEDS IN		}	3. VARIETY NAME RA 606			
4. ADDRESS (Street and No. or R.F.D. No., City, Sta 975 California Avenue P.O. Box 10975 Palo Alto, California 943		5. PHONE (Include area code) -214-243-8166	PVPO NUMBER  8200161			
6. GENUS AND SPECIES NAME Glycine Max	7. FAMILY NA Legumin		DATE 8/24/82 TIME 12:30 A.M. NP.M.			
8. KIND NAME Soybean	9.	January 1982	AMOUNT FOR FILING \$ 500.00  DATE 8/24/82  AMOUNT FOR CERTIFICATE			
10. If THE APPLICANT NAMED IS NOT A "PERSO partnership, association, etc.)  Corporation						
11. IF INCORPORATED, GIVE STATE OF INCORPORT Delaware  13. NAME AND ADDRESS OF APPLICANT REPRESENTATION OF APPLICANT APPLICANT REPRESENTATION OF APPLICANT A		9/21/83  12. DATE OF INCORPORATION March 26, 1982				
Mike Haniford  Ring Around Products, Inc.  12000 Ford Road, Suite 300  Dallas, Texas 75234  14. CHECK APPROPRIATE BOX FOR EACH ATTAC  a.   Exhibit A, Origin and Breeding History of the Section 52 of the Plant Variety Protection Act	(-214-24 CHMENT SUBMI	727 NORR  3-8166) SPRING to  TTED  c. Exhibit C, Objective D from Plant Variety Pro	E, STROIKE  STOWN ROAD, BUILDING TO  HOUSE, PA 19477  Description of the Variety (Request form office.)  Description of the Variety			
15. DOES THE APPLICANT(S) SPECIFY THAT SEEL SEED? (See Section 83(a) of the Plant Variety Pro	tection Act.)	_	E ONLY AS A CLASS OF CERTIFIED items 16 and 17 below)			
16. DOES THE APPLICANT(S) SPECIFY THAT THIS LIMITED AS TO NUMBER OF GENERATIONS?  Yes No  18. DID THE APPLICANT(S) FILE FOR PROTECTIONS.		BEYOND BREEDER SEE	Registered Certified			
19. HAVE RIGHTS BEEN GRANTED IN THE U.S. O	R OTHER COUN	ITRIES?	X No Yes (If "Yes," give nam of countries and dates)			
20. The applicant(s) declare(s) that a viable samp plenished upon request in accordance with su The undersigned applicant(s) is (are) the own distinct, uniform, and stable as required in Se Variety Protection Act.	ch regulations a er(s) of this sex	as may be applicable. cually reproduced novel plant var	riety, and believe(s) that the variety is			
Applicant(s) is (are) informed that false repre	sentation herei		DATE			
SIGNATURE OF APPLICANT			DATE DATE			

FORM LMGS-470 (9-81) (Edition of 1-78 is obsolete)

#### INSTRUCTIONS

General: Send an original copy of the application and exhibits, at least 2,500 viable seeds, and \$500 fee (\$250 filing fee and \$250 examination fee) to U.S. Department of Agriculture, Agricultural Marketing Service, Livestock, Meat, Grain and Seed Division, Plant Variety Protection Office, National Agricultural Library Building, Beltsville, Maryland 20705. (See section 180.175 of the Regulations and Rules of Practice.) Retain one copy for your files. All items on the face of the form are self-explanatory unless noted below.

#### Item

- 9 Give the date the applicant determined that he had a new variety based on (1) the definition in section 41(a) of the Act and (2) the date a decision was made to increase the seed.
- Give: (1) the genealogy, including public and commercial varieties, lines, or clones used, and the breeding method; (2) the details of subsequent stages of selection and multiplication; (3) the type and frequency of variants during reproduction and multiplication and state how these variants may be identified and (4) evidence of uniformity and stability.
- Give a summary statement of the variety's novelty. Clearly state how this novel variety may be distinguished from all other varieties in the same crop. If the new variety most closely resembles one or a group of related varieties: (1) identify these varieties and state all differences objectively; (2) attach statistical data for characters expressed numerically and demonstrate that these differences are significant; and (3) submit, if helpful, seed and plant specimens or photographs of seed and plant comparisons clearly indicating novelty.
- 14c Fill in the Exhibit C, Objective Description form, for all characteristics for which you have adequate data.
- Describe any additional characteristics that are not described, or whose description cannot be accurately conveyed in Exhibit C. Use comparative varieties as is necessary to reveal more accurately the description of characteristics that are difficult to describe, such as plant habit, plant color, disease resistance, etc.
- 15 If "Yes" is specified (seed of this variety be sold by variety name only as a class of certified seed) the applicant may NOT reverse his affirmative decision after the variety has either been sold and so labeled, his decision published, or the certificate has been issued. However, if the applicant specified "No," he may change his choice. (See section 180.16 of the Regulations and Rules of Practice.)
  - See section 42 of the Plant Variety Protection Act and section 180.7 of the Regulations and Rules of Practice.

GPO 890-698



Exhibit A Origin and Breeding History of the Variety

RA-606 originated from the cross SX-60 which was a 1975 greenhouse  $F_1$  made between Cross IV(a) 160 x RA-528.

Cross IV(a)160 was an  $F_6$  single plant selection from the cross York x Davis made by Dr. C.E. Caviness at Fayetteville, Arkansas.

RA-528 was a single plant selected from the variety Forrest. It was never released commercially but was used extensively in crossing.

The  $F_1$  was grown in the greenhouse in 1975-76. The  $F_2$  seed was advanced to the  $F_3$  generation by the bulk pedigree system in 1976. During 1977 the  $F_3$  bulk was planted for selection purposes in Fisher, Arkansas and Midville, Georgia.

In 1977 a single plant designated SX-60(e)37A was selected at Fisher, Arkansas. The gram weight of this plant was 40 grams. A preliminary screening trial was conducted in 1978 at Fisher, Arkansas. Yields of the 25' rows were 1233 grams vs 520 grams for the check variety Forrest.

SX-60(e)37A was tested further in 1979, 1980 and 1981. Data is as follows:

<u>1979</u>	Wynne, Ark.	Fisher, Ark.	Midville, Ga.
SX-60(e)37A Bedford	47 per 400' row 50 per 400' row	58 per 400' row 33 per 400' row	56 per 400' row 50 per 400' row
1980	Fisher, Ark.	(Lost Geor	gia Trial)
SX-60(e)37A Bedford	53 lbs. per 400' rol 39 lbs. per 400' rol	W W	

A small increase of this line SX-60(e)37A was made in Weiner, Arkansas. For demonstration purpose SX-60(e)37A received an experimental designation RAX-13. Seed from the Weiner, Arkansas was used for further testing in 1981 plus increase.

1981	Plainview, Texas	Fisher, Arkansas	Midville, Georgia
RAX-13	83	60	49
Bedford	84	50	44
RA-604	83	61	48

Cyst nematode screening was made in the greenhouse by Dr. Robert Riggs at Fayetteville, Arkansas (courtesy USDA and Arkansas University) Results are as follows:

	Race 3 (cyst/pot)	Race 4 (cyst/pot)
RAX-13	98	40
Bedford		59
Pickett 71	<b>5</b>	406
Lee 74	640	674

Root knot screening at Jay, Florida, indicated that RAX-13 was resistant to incognita, susceptible to M. arenaria. (see attachment)

Based upon its yield, cyst and root knot nematode counts, etc. a 2 acre increase of RAX-13 was vigorously rogued for all off type plants by James Thomas - Ring Around Foundation Seeds at Fisher, Arkansas. This field yielded 86 bushels of Breeders Seed. Ring Around's Soybean Variety Committee released this seed for foundation and registered seed production in Arkansas in 1982. Commercial release will occur in 1983. The name was designated to be RA-606.

RA-606 is a very uniform line phenotypically. It is a tall,  ${\rm dt_1}$ , medium bush variety. Flower color is white, pubescence grey and pods are tan. An occasional brown pubescence plant is noted (i.e. 1 per 1,000) which will be rogued and eliminated during foundation processes.

RA-606 has medium green leaves, tends to lodge under heavy rainfall. Leaf angle is distinctive - more like York - otherwise the variety resembles Davis in plant characteristics.

The seed is somewhat flattened, medium size, shiny with a small buff hilum. A brown pubescence variant with black hilum seed (1 per 1,000) is being removed from breeders and foundation seed of RA-606.

RA-606 will be well adapted to areas presently served by Davis and Centennial i.e. Eastern Carolinas, and other coastal areas. Its cyst nematode resistance will allow it to be grown in the Delta Regions of Missouri, Arkansas, Mississippi and Louisiana.

RA-606 is approximately the same maturity as Davis.

8200161

# ZEXHIBIT A MS

			1.75	5.	2.75	2.50	2.00	1.25	2.50		3.25	4.00	2.75 3.00	•		3.75 2.50	4,00		75 0	3.75	3,25	3.00	3.25	2.25	3.75	3.75	2.00	3.00	148	144		3	2-5	3,70 76	76	Cobb	Live Oak C. K. Hiebsch	
TOWN TOOK TO SELECT	기		3.00	3.75	3.75	2.33	3.00	2.50	2,67		4.00	4.33	4.33 4.33	2.57	•	ກຸຕຸ	3.33	`	133	4			4.25	4.00	3,75	3.50	4.75	3.50	148	115	. 28	!	0-0	2.89 92	64 70	Centennial	Jay ch R. A. Kinloch	
981 HILL PLOTS OR THRE	Southern1		2.00	0.75	0.00	0.75	0.25	79.0	0.50	.9	2.34	0.50	0.25			4.75		• '	29.0	2.25	0.00	0.50	33.	00.4	3.00	0.00	4.00	00.0	ed 148	plants 110	74		. 0-5	planted 92	plants	Pickett 71	Jay R. A. Kinloch	severity $^{1\!\!/}$ 0.5, $^{2\!\!/}$ 1.5.
GALLING SCORES FROM	r or Line	Public	Bedford	Braxton	Centennial	Foster	F77-1797		Jeff	Coker Pedigreed Seed	CO 237 CO 338	CO 488	CO 79-459	CO 79-506	Helena Chemical Co.	~	. HB-46891-6 HB-50701-7		Ring Around Products RA 604	RA 605	RA 701	RA 800	RAX 13 RH-606	— "	, (1)	RAX 41 RAX 42	- RAX 43	. RAX 45	Number of hills plants	Number of hills with p	Percent	S S S S S S S S S S S S S S S S S S S	Range	of hills	of hill	Variety	Location Investigation	Increasing galling se

INTERPRETATION OF TABLE ON SECOND PAGE,

Continuation

Page 2

Interpretation of GALLING SCORES FROM 1981 HILL PLOTS OF THREE SPECIES...

The above data needs to be interpreted with caution. Nematode populations in the field are quite variable, even within short distances. The above experiments consist of hill plots planted with 10 seeds of a line per hill with hills 18 inches apart with a susceptible variety in a hill next to each tested line. The galling score of the susceptible check should be 5 if heavy populations of nematodes were present at each hill. The range of galling scores and averages indicate variable infestation. Four replicates were planted, but due to missing plots, many averages in the southern and peanut root-knot trials are averages of 1, 2, or 3 reps. That also leads to less precise interpretations.

High galling scores can more safely be interpreted as susceptibility than can low scores be interpreted as resistance due to the variability of nematode populations. It is also possible that given amounts of visual root galling damage can affect the yield capacity of two varieties differently. For example, Foster and Hutton had galling scores caused by peanut root-knot nematodes of 2.33 and 2.00 respectively, in hill plots and 3.75 for both in the yield trial plots (Table 48 in the Florida Field and Forage Crop Variety Report – 1981 ) while yields were 25.4 and 6.8 bu/A, respectively (Table 45). Foster is classified as moderately susceptible and Hutton as susceptible to peanut root-knot.

Exhibit B Novelty Statement

RA-606 most nearly resembles the Variety Davis in plant type, color etc.. It is unique from Davis in one major trait i.e.

1) RA-606 has good cyst nematode resistance, Davis is susceptible.

i.e. Arkansas Data

	Race 3	Race 4
RA-606	98 cyst/pot	40 cyst/pot
Davis	S	S

EXHIBIT C (Soybean)

Page 1 of 4

U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE
LIVESTOCK, MEAT, GRAIN & SEED DIVISION
PLANT VARIETY PROTECTION OFFICE
BELTSVILLE, MARYLAND 20705

# OBJECTIVE DESCRIPTION OF VARIETY SOYBEAN (Glycine max L.)

NAME OF APPLICANT(S)	TEMPORARY DESIGNATION	VARIETY NAME
Zoecon Corporation	RAX-13	RA-606
ADDRESS (Street and No., or R.F.D. No., City, State, and Zip Cod 975 California Avenue Palo Alto, California 94304	de)	FOR OFFICIAL USE ONLY PVPO NUMBER 8200161
Choose the appropriate response which characterizes the var in your answer is fewer than the number of boxes provided,	riety in the features describe , place a zero in the first box	d below. When the number of significant digits when number is 9 or less (e.g., 0 9).
1. SEED SHAPE:    L     W	2 = Spherical Flattene	ed (L/W ratio > 1.2; L/T ratio = < 1.2) ed (L/T ratio > 1.2; T/W > 1.2)
2. SEED COAT COLOR: (Mature Seed)  1 = Yellow 2 = Green 3 = Brown	4 = Black 5 = Oth	er (Specify)
3. SEED COAT LUSTER: (Mature Hand Shelled Seed)  2 1 = Dull ('Corsoy 79'; 'Braxton') 2 = Shiny ('Nebs	soy'; *Gasoy 17*)	
4. SEED SIZE: (Mature Seed)  1 5 Grams per 100 seeds		
5. HILUM COLOR: (Mature Seed)  1 = Buff 2 = Yellow 3 = Brown	4 = Gray 5 = Imperfect	Black 6 = Black 7 = Other (Specify)
6. COTYLEDON COLOR: (Mature Seed)  1 = Yeliow 2 = Green		
7. SEED PROTEIN PEROXIDASE ACTIVITY:  1 = Low 2 = High		
8. SEED PROTEIN ELECTROPHORETIC BAND:		
1 = Type A (SP1 <sup>a</sup> ) 2 = Type B (SP1 <sup>b</sup> )		
9. HYPOCOTYL COLOR:		
1 = Green only ('Evans'; 'Davis') 2 = Green wir 3 = Light Purple below cotyledons ('Beeson'; 'Pickett 71') 4 = Dark Purple extending to unifoliate leaves ('Hodgson')		ns ('Woodworth'; 'Tracy')
10. LEAFLET SHAPE:		
3 1 = Lanceolate 2 = Oval 3 = Ovate	4 = Other (Specify) _	7

FORM LMGS-470-57 (2-82)

Francisco Communication (Communication Communication Commu	8200161
11. LEAFLET SIZE:	
2 1 = Small ('Amsoy 71'; 'A5312') 2 = Medium ('Corsoy 79'; 'Gasoy 17')	
2 1 = Small (Amsoy /1'; 'Ab3121' 2 = Medium (Colsdy /9'; Gasdy 1/') 3 = Large ('Crawford'; 'Tracy')	
12. LEAF COLOR:	
1 = Light Green ('Weber'; 'York') 2 = Medium Green ('Corsoy 79'; 'Braxto	on")
2 3 = Dark Green ('Gnome'; 'Tracy')	
13. FLOWER COLOR:	
1 = White 2 = Purple 3 = White with purple throat	
14. POD COLOR:	
1 1 = Tan 2 = Brown 3 = Black	
15. PLANT PUBESCENCE COLOR:	
1 = Gray 2 = Brown (Tawny)	
16. PLANT TYPES:	
1 = Slender ('Essex'; 'Amsoy 71') 2 = Intermediate ('Amcor'; 'Braxton')	
3 = Bushy ('Gnorne'; 'Govan')	
17. PLANT HABIT:	
W. PEANI HABII:	
1 = Determinate ('Gnome'; 'Braxton') 2 = Semi-Determinate ('Will') 3 = Indeterminate ('Nebsoy'; 'Improved Pelican')	
18. MATURITY GROUP:	
	7-W
0 9 1 = 000 2 = 00 3 = 0 4 = I 5 = II 6 = III 9 = VI 10 = VII 11 = VIII 12 = IX 13 = X	7 = IV 8 = V
19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)	
BACTERIAL DISEASES:	
Bacterial Pustule (Xanthomonas phaseoli var. sojensis)	
Bacterial Blight (Pseudomonas glycinea)	
Wildfire (Pseudomonas tabaci)	
FUNGAL DISEASES:	
Brown Spot (Septoria glycines)	
Frogeye Leaf Spot (Cercospora sojina)	
2 Race 1 Race 2 Race 3 Race 4 Race 5	Other (Specify)
المستقل المستق المستقل المستقل المستق	منتسا
2 Target Spot (Corynespora cassiicola)	
2 Downy Mildew (Peronospora trifoliorum var. manshurica)	
0 Powdery Mildew (Microsphaera diffusa)	
0 Brown Stem Rot (Cephalosporium gregatum)	
O Stem Canker (Disposthe pheseologym ver coulivere)	).

	-			8200161
19. DISE	ASE REACTION:	(Enter 0 = Not Tested; 1 = Susceptible; 2	= Resistant) (Continued)	
FUI	NGAL DISEASES	: (Continued)		
0	Pod and Stem	Blight (Diaporthe phaseolorum var; sojae)		
2	Purple Seed St	ain <i>(Cercospora kikuchii)</i>	til elletist og kolonisk i skilori I og tratt og til engligt og kolonisk	
0	Rhizoctonia R	oot Rot (Rhizoctonia solani)		
	Phytophthora	Rot (Phytophthora megasperma var. sojae)		
2	Race 1	Race 2 Race 3	Race 4 Race 5	Race 6 Race 7
	Race 8	Race 9 Other (Specify)		
VIE	AL DISEASES:			
0	Bud Blight (To	bacco Ringspot Virus)		
0	Yellow Mosaic	(Bean Yellow Mosaic Virus)		
0	Cowpea Mosaid	(Cowpea Chlorotic Virus)		
0	Pod Mottie (Be	ean Pod Mottle Virus)		
0	Seed Mottle (S	oybean Mosaic Virus)		
NEI	MATODE DISEAS	SES:		
	Soybean Cyst I	Nematode (Heterodera glycines)		
	Race 1	Race 2 2 Race 3 2	Race 4 Other (S	Specify)
0	Lance Nemato	de (Hopiolaimus Colombus)		
2	Southern Root	Knot Nematode (Meloidogyne incognita)		
0	Northern Root	Knot Nematode (Meloidogyne Hapla)		
1	Peanut Root K	not Nematode (Meloidogyne arenaria)		
0	Reniform Nem	atode (Rotylenchulus reniformis)		
	OTHER DISE	ASE NOT ON FORM (Specify):		
20. PHYSI		PONSES: (Enter 0 = Not Tested; 1 = Susc	eptible; Z = Resistant)	
		on Calcareous Soil		
لا				
	T REACTION: (	Enter 0 = Not Tested; 1 = Susceptible; 2 =	Resistant)	
0	Mexican Bean I	Beetle (Epilachna varivestis)		
0	Potato Leaf Ho	pper (Empoasca fabae)		
	Other (Specify)			
22. INDIC	ATE WHICH VAR	RIETY MOST CLOSELY RESEMBLES TH	IAT SUBMITTED.	
CHA	RACTER	NAME OF VARIETY	CHARACTER	NAME OF VARIETY
Plant SI	hape	Davis	Seed Coat Luster	Davis
Leaf Sh	ape	Davis	Seed Size	Davis
Leaf Co	olor	Davis	Seed Shape	Davis
Leaf Siz	ze	Davis	Seedling Pigmentation	Davis

## 23. GIVE DATA FOR SUBMITTED AND SIMILAR STANDARD VARIETY: Paired Comparison Data

	VARIETY	NO. OF DAYS	PLANT LODGING	CM PLANT	LEAFL	ET SIZE	SEED CON	TENT	SEED SIZE G/100	NO. SEEDS/	
		MATURITY	SCORE	HEIGHT	CM Width	CM Length	% Protein	% Oil	SEEDS	POD	
	Submitted	135	2.0	96	-	-	i e v <del>i</del>	20.2	<del>-</del>	-	
	Davis Name of Similar Variety	135	1.8	91	_	<u> </u>	<del>-</del> 24	18.8			

## PUBLICATIONS USEFUL AS REFERENCE AIDS FOR COMPLETING THIS FORM:

- 1. Caldwell, B.E., ed. 1973. Soybeans: Improvement, Production, and Uses. Amer. Soc. Agron. Monograph No. 16.
- 2. Buttery, B.R. and R.I. Buzzell. 1968. Peroxidase activity in seeds of soybean varieties. Crop Sci., 8: 722-725.
- 3. Hymowitz, T. 1973. Electrophoretic analysis of SBTI-A2 in the USDA soybean germplasm collection. Crop Sci., 13: 420-421.
- 4. Payne, R.C. and L.F. Morris, 1976. Differentiation of soybean cultivars by seedling pigmentation patterns. J. Seed Technol. 1: 1-19.

Exhibit D Additional Description of the Variety

RA-606 is tall determinate variety. Early growth is vigorous with favorable conditions. Vegetative coloration is medium green with petiole leaf angle somewhat upright. The flowering is very profuse and the white flowers are very visible at this stage. Stem strength is marginal, especially when planted thickly. It is an ideal low population variety.

Seed resembles that of Davis except it is slightly larger and has a shiny seed coat. Seed quality is excellent. Shattering is minimal under stress. It would rate a 1.0 score for shattering most areas.

RA-606 has a high degree of cyst and root knot tolerance. It is susceptable to M. arenaria found in Georgia, South Carolina, Alabama and Florida. The combination of height, seed quality, cyst and root know nematode (m. incognito), resistance makes RA-606 a widely adapted South Eastern USA variety.

## ASSIGNMENT

## PLANT VARIETY PROTECTION CERTIFICATE

WHEREAS, Ring Around Products, Inc., a Delaware corporation, having a place of business at 12200 Ford Road, Suite 400, Dallas, Texas 75234, hereinafter referred to as "Ring Around", is the duly authorized owner and holder of the entire right, title and interest in and to the following U.S. Plant Variety Protection Certificates and applications for U.S. Plant Variety Certificates (collectively referred to as "Certificates"):

Plant Protection Certificates and Applications

Variety	PVP Cer	rtificat	e No.	Date	Issued	
Mitchell RA-526 RA-501A RA-401 RA-601 RA-480 RA-604 Mitchell 450 RA-402 RA-481 RA-680 RA-502 RA-403 Mitchell 410	7300054 7500067 76T0006 7800030 7800031 7900007 7900008 7900046 8000093 8000054 8100097 8200160 8200163			10/30/74 12/12/75 5/11/78 6/22/78 6/22/78 2/15/79 3/1/79 6/14/79 7/31/80 3/26/81 4/15/82 8/31/83 10/27/83		
RA-606 RA-580 RA-405 RA-451 RA-452	8200161 8300075 8500010 8500008 8500009	(filing	number)	10/27/83 4/30/84 10/10/84 10/10/84 10/10/84	(filing	date)

WHEREAS, ROHM AND HAAS SEEDS INC., a Delaware corporation, ("RHS") having a place of business at Independence Mall West, Philadelphia, Pennsylvania 19105, is desirous of acquiring the entire interest in the aforementioned varieties, Certificates and the rights associated therewith;

NOW, THEREFORE, in consideration of and in exchange for the sum of one dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, Ring Around does hereby sell, assign, transfer and set over, unto RHS its entire right, title and interest in and to the aforementioned varieties and Certificates.

IN WITNESS WHEREOF, this ASSIGNMENT is entered into as of this 21st day of November, 1984.

RING AROUND PRODUCTS, ING.

Wilbur G. Johnson

President

ACKNOWLEDGMENT

STATE OF PENNSYLVANIA

COUNTY OF PHILADELPHIA

On More 21, 1954, before me, the undersigned, a Notary Public in and for said State and County, personally appeared Wilbur G. Johnson, known to me to be the President of the Corporation that executed the within instrument, on behalf of the corporation herein named, and acknowledged to me that such Corporation executed the within instrument pursuant to its by laws or a resolution of its board of directors.

WITNESS my hand and official seal.

Eliabeth a. bruth

My Commission Expires: Uct. 14,1585 ELIZABETH A. SMITH Notery Public. Phila. Co. , My Commission Expires Oct. 14, 1985

#### ASSIGNMENT

WHEREAS, Rohm and Haas Seeds Inc., a Delaware corporation, with its principal office at Independence Mall West,
Philadelphia, Pennsylvania 19105 ("RHS") is the owner of the entire right, title and interest in and to the following soybean varieties, applications for United States Plant Variety
Protection Certificates and United States Plant Variety
Protection Certificates (collectively referred to as "Certificates"):

Soybean Variety	Certificate Number	Date Issued
Mitchell RA-401 RA-601 Mitchell 450	7300054 7800030 7800031 7900046	October 30, 1974 June 22, 1978 June 22, 1978 June 14, 1979
the contract of the contract o	8000054 8000093 8200162 8200163 7500067	March 26, 1981 July 31, 1980 October 27, 1983 October 27, 1983
	7500067 7900008 8100097 8200160 8200161	December 12, 1975 March 1, 1979 April 15, 1982 August 31, 1983 October 27, 1983
RA-580	8300075 76TQ006 7900007	April 30, 1984 May 11, 1978 February 15, 1979
Soybean Variety	Application Number	Filing Date
RA-451 RA-452 RA-405	8500008 8500009 8500010	October 10, 1984 October 10, 1984 October 10, 1984

WHEREAS, CR Seeds, a general partnership, having its principal office at 900 Darlington Highway, Hartsville, South Carolina 29550 ("Partnership") is desirous of acquiring the entire interest in the aforementioned varieties and Certificates;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, RHS does hereby sell, assign, transfer to the Partnership the entire right, title and interest in and to the varieties and Certificates for its use and benefit and for its successors and assigns.

IN TESTIMONY THEREOF, Rohm and Haas Seeds Inc. intending to be legally bound has caused this assignment to be executed by its duly authorized officer, effective as of the 20th day of December, 1984.

Date: [2   23   85	ROHM AND HAAS SEEDS THE.
	Title: PRES

STATE OF Lenna	:	
COUNTY OF Phila		SS

On this 23 day of Acceptable, 1985, before me appeared Charles H. Baker of Rohm and Haas Seeds Inc., the person who signed this instrument, who acknowledged that he signed it as a free act on behalf of Rohm and Haas Seeds Inc. with authority to do so.

JOAN B. HENDERSON Notary Public Notary Public Phila. Co.

My Commission Expires: Commission Expires Nov. 29, 1986

#### **ASSIGNMENT**

WHEREAS, CR Seeds, a general partnership having it principal office at 900 Darlington Highway, Hartsville, South Carolina 29550 ("Partnership"), is the owner of the entire right, title and interest to the United States Plant Variety Protection Certificates and applications for such Certificates (collectively "Certificates") listed on Appendix A attached hereto;

WHEREAS, the partners of CR Seeds, Rohm and Haas Seeds Inc., a Delaware corporation having its principal office at Independence Mall West, Philadelphia, PA 19105 ("RHS") and Coker's Pedigreed Seed Company, a South Carolina corporation with its principal office at 900 Darlington Highway, Hartsville, SC 29550 ("CPS"), are each desirous of acquiring a one-half undivided interest in the varieies and Certificates listed on Appendix A;

NOW, THEREFOR, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, CR Seeds does hereby sell, assign and transfer to RHS a one-half undivided interest in and to the varieties and Certificates and to CPS a one-half undivided interest in and to the varieties and Certificates for each of their use and benefit and for each of their successors and assigns.

IN TESTIMONY THEREOF, CR Seeds intending to be legally bound has caused this assignment to be executed by its duly authorized officer.

CR Seeds

President

State of South Carolina )ss
County of Darlington )

On this 30th day of December, 1986, before me appeared E. Joe Dahmer of CR Seeds, the person who signed this instrument who acknowledged that he signed it as a free act on behalf of CR Seeds with authority to do so.

ofary Public

My commission expires

4-14-91

## APPENDIX A

## Soft Red Winter Wheat Varieties

Variety Name	U.S. Plant Variety Certificate No.	Issue Date
Coker 68-15	7200014	Mar. 6, 1974
Coker 68-19	7200015	Mar. 6, 1974
Coker 747	76TQ015	Sept. 9, 1978
Coker 762	8000152	Dec. 12, 1981
Coker 797	8000145	Dec. 12, 1981
Coker 916	8300036	Sept. 27, 1985
Coker 983	84000581	Feb. 27, 1984 <sup>1</sup>
McNair 701	7200038	Feb. 26, 1974
McNair 4823	7200037	April 8, 1975
RHS 8232	8400136 <sup>1</sup>	July 16, 1984 <sup>1</sup>
9227	86000091	Oct. 22, 1985 <sup>1</sup>
9323	8600010 <sup>1</sup>	Oct. 22, 1985 <sup>1</sup>

<sup>1.</sup> Application number and filing date.

## Winter Oat Varieties

Variety Name	U.S. Plant Variety Certificate No.	Issue Date
Coker 227	7500007	Oct. 20, 1977
Coker 716	7900003	Dec. 28, 1978
Coker 820	84000591	Feb. 28, 1984 <sup>1</sup>
Coker 234	7500008	Oct. 26, 1977
Four Twenty Two	7700085	Apr. 12, 1979
Big Mac	8200121	Aug. 19, 1982
Mesquite	8200122	Aug. 19, 1982

<sup>1.</sup> Application number and filing date.

## Soybean Varieties

Coker		
Hampton 266A	7100022	Sept. 6, 1973
Coker 136	7300091	Oct. 18, 1973
Coker 338	7400058	Oct. 29, 1976
Coker 237	7800034	July 13, 1978
Coker 488	7800035	July 13, 1978
Coker 156	7900043	Nov. 27, 1979
Coker 317	8100095	Nov. 19, 1981
Coker 368	8200140	Aug. 31, 1983
Coker 425	8400077	May 31, 1985
Coker 485	8400078	May 31, 1985
Coker 393 (Q327-4270)	8400018	Jan. 25, 1985
Coker 355 (XP5878)	8400019	Jan. 25, 1985
Coker 627	87000011	Oct. 3, 1986 <sup>1</sup>
Coker 686	Pending <sup>1</sup>	Dec. 19, 1986

<sup>1.</sup> Application number and filing date.

Variety Name	U.S. Plant Variety Certificate No.	Issue Date
AP55	8100089	Feb. 18, 1982
AP70	7800081	Feb. 15, 1979
AP71	8100090	Sept. 24, 1981
Lancer	7600044	Sept. 29, 1976
RA-526	7500067	Dec. 12, 1975
RA-604	7900008	Mar. 1, 1975
RA-680	8100097	Apr. 15, 1982
RA-502	8200160	Aug. 31, 1983
RA-606	8200161	Oct. 27, 1983
RA-580	8300075	Apr. 30, 1984
Mitchell	7300054	Oct. 30, 1974
RA-401	7800030	June 22, 1978
RA-601	7800031	June 22, 1978
Mitchell 450	7900046	June 14, 1979
RA-481	8000054	Mar. 26, 1981
RA-402	8000093	July 31, 1981
Mitchell 410	8200162	Oct. 27, 1983
RA-403	8200163	Oct. 27, 1983

Variety Name	Certificate No.	Issue Date
RA-451	85000081	Oct. 10, 1984 <sup>1</sup>
RA-452	8500009 <sup>1</sup>	Oct. 10, 1984 <sup>1</sup>
RA-405	8500010 <sup>1</sup>	Oct. 10, 1984 <sup>1</sup>
RA-501 A	76TQ006	May 11, 1978
RA-480	7900007	Feb. 15, 1979

<sup>1.</sup> Application number and filing date.

#### ASSIGNMENT

WHEREAS, Rohm and Haas Seeds Inc., a Delaware corporation having its principal office at Independence Mall West, Philadelphia, PA 19105 ("RHS"), is the owner of a one-half undivided interest in and to the United States Plant Variety Protection Certificates and applications for such Certificates (collectively "Certificates") listed on Appendix A attached hereto:

WHEREAS, Coker's Pedigreed Seed Company, a South Carolina corporation with its principal office at 900 Darlington Highway, Hartsville, SC 29550 ("CPS"), is desirous of acquiring this one-half undivided interest in the aforementioned varieties and Certificates;

NOW, THEREFOR, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, RHS does hereby sell, assign and transfer to CPS RHS's one-half undivided interest in and to the varieties and Certificates for CPS's use and benefit and for its successors and assigns.

IN TESTIMONY THEREOF, RHS intending to be legally bound has caused this assignment to be executed by its duly authorized officer.

By: Haway A. Mughan h Assistant Treasurer

State of Philadelphia) SS

On this 3/5 day of Ceceller . 1986, before me appeared Howard A. Mergelkamp of Rohm and Haas Seeds Inc., the person who signed this instrument who acknowledged that he signed it as a free act on behalf of Rohm and Haas Seeds Inc. with authority to do so.

Elizabeth: Notary Public

Notary Public, Phila., Phila. Co

My commission expires Wy Commission Expires Oct. 74, 1989

#### ASSIGNMENT OF PLANT VARIETY PROTECTION CERTIFICATES

WHEREAS, COKER'S PEDIGREED SEED COMPANY, a South Carolina corporation ("Coker's"), having its offices at 900 Darlington Highway, Hartsville, South Carolina 29550, has adopted and used and is the sole and exclusive owner of certain United States Plant Variety Protection Certificates and similar rights under laws of countries other than the United States as listed in Exhibit A hereto:

WHEREAS, COKER'S PEDIGREED SEED CO. and NORTHRUP KING CO., a Delaware corporation ("NK"), have entered into an Asset Purchase Agreement, dated July 20, 1988, providing for the purchase and sale of substantially all of the assets and business of Coker's and the assumption of certain of Coker's liabilities and obligations by NK; and

WHEREAS, NK desires to acquire the right, title and interest in, to and under the Plant Variety Protection Certificates listed on Exhibit A hereto and the pending applications hereto (collectively, the "Plant Variety Protection Certificates").

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Coker's hereby sells, assigns, transfers and sets over to NK the Plant Variety Protection Certificates. Coker's further agrees, at no cost to it, to execute and deliver to NK, upon the request of NK, any further instrument of assignment that may be necessary to effectuate the transfer of each Plant Variety Protection Certificate.

IN WITNESS WHEREOF, Coker's has caused this instrument to be executed by its duly authorized representative as of the 20th day of July, 1988.

COKER'S PEDIGREED SEED COMPANY

By:
E. Joe Dahmer
President

STATE OF MINNESOTA )
) ss:
COUNTY OF HENNEPIN )

On this and day of July, 1988, before me, a Notary Public in and for the County aforesaid, the undersigned officer, E. Joe Dahmer, personally appeared and acknowledged himself to be the President of Coker's Pedigreed Seed Co., and that he executed the foregoing instrument for the purposes therein.

WITNESS my hand and seal this 20th day of July, 1988.



Motary Public

## Soybean Varieties, Continued

Variety Name	U.S. Plant Variety Certificate Number	Issue Date	Term (Yrs.)
AP55	8100089	Feb. 18, 1982	18
AP70	7800081	Feb. 15, 1979	17
AP71	8100090	Sept. 24, 1981	18
Lancer	7600044	Sept. 29, 1976	17
RA-526	7500067	Dec. 12, 1975	17
RA-604	7900008	Mar. 1, 1975	17
RA-680	8100097	Apr. 15, 1982	18
RA-502	8200160	Aug. 31, 1983	18
RA-606	8200161	Oct. 27, 1983	18
RA-580	8300075	Apr. 30, 1984	18
Mitchell	7300054	Oct. 30, 1974	17
Ra-401	7800030	June 22, 1978	17
RA-601	7800031	June 22, 1978	17
Mitchell 450	7900046	June 14, 1979	17
RA-481	8000054	Mar. 26, 1981	18
RA-402	8000093	July 31, 1980	17
Mitchell 410	8200162	Oct. 27, 1983	18
RA-403	8200163	Oct. 27, 1983	18
RA-451	8500008	Dec. 27, 1985	18
RA-452	8500009	Aug. 30, 1985	18
RA-405	8500010	Aug. 30, 1985	18
RA-501A	<b>76T0006</b>	May 11, 1978	17
RA-480	7900007	Feb. 15, 1979	17

# State of Delaware

## Office of the Secretary of State

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "NORTHRUP KING CO.", CHANGING ITS NAME EROM "NORTHRUP KING CO." TO "NOVARTIS SEEDS, INC.", FILED IN AME OF DECEMBER, A.D. 1994, AME O'BLOCK A.M.

A CERTIFIED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO



Edward J. Freel, Secretary of State

0829320 38100

960389892

**AUTHENTICATION:** 

8267947

DATE:

12-31-96

## CERTIFICATE OF AMENDMENT OF CERTIFICATE OF INCORPORATION

OF

## NORTHRUP KING CO.

It is certified that:

- 1. The name of the corporation (hereinafter called the "Corporation") is Northrup King Co.
- 2. The Certificate of Incorporation of the Corporation is hereby amended by striking out Section 1 thereof and by substituting in lieu of said Section the following new Section.
  - 1. The name of the Corporation is Novartis Seeds, Inc.
- 3. The amendment of the certificate of incorporation herein certified has been duly adopted and written consent has been given in accordance with the provisions of Sections 228 and 242 of the General Corporation Law of the State of Delaware.
  - 4. The effective date of the amendment herein certified shall be January 1,1997.

Signed on December 27, 1996.

Edward C. Resler

Vice President & Secretary